



PTO/SB/21 (08-03)

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FORM**

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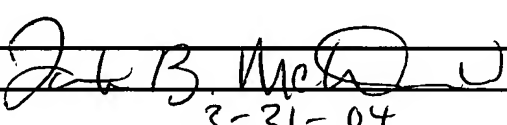
Total Number of Pages in This Submission

Application Number	10/691,046
Filing Date	October 22, 2003
First Named Inventor	Richard S. Valpey, III, et al.
Art Unit	1751
Examiner Name	unknown
Attorney Docket Number	J-3456A

ENCLOSURES (Check all that apply)


<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	IF THERE ARE ANY CHARGES, PLEASE CHARGE TO OUR DEPOSIT ACCOUNT NO. 10-0849.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank B. McDonald S. C. Johnson & Son, Inc.
Signature	
Date	3-31-04

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Rebecca A. DeGarmo		
Signature		Date	March 31, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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J-3456A
PATENTS

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Date of Signature and Deposit:

March 31, 2004
Date

Rebecca A. DeGarmo
Rebecca A. DeGarmo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard S. Valpey, III, *et al.*
Serial No: 10/691,046
Filed: October 22, 2003
Title: Process and Composition for Producing Self-Cleaning Surfaces from Aqueous Sstems
Group Art Unit: 1751
Examiner: unknown

S. C. Johnson & Son, Inc.
1525 Howe Street, MS 077
Racine, WI 53403-2236

Commissioner for Patents
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on form PTO-1449. A copy of each listed document is enclosed.

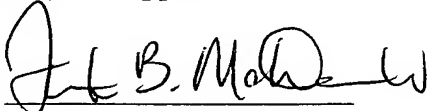
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

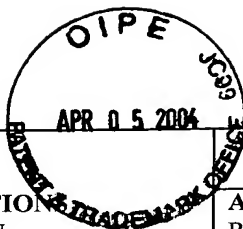
The information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application

or before the mailing of a first Office Action on the merits whichever event occurs last.
Should there be any charges involved, please charge to our Deposit Account No. 10-0849.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted:
Attorney for Applicant:

by: 
Frank B. McDonald
Registration No. 28,738
(262) 260-2045



Form PTO-1449 (Modified)		Attorney Docket No. J-3456A		Serial No. 10/691,046		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Richard S. Valpey, III, et al.				
		Filing Date October 22, 2003		Group Art No. 1751		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INT.		DOCUMENT NO.	DATE	NAME	CLASS SUB. FILING DATE	
	AA	2002/0142150	10/03/02	Bauman, et al.	428 328 12/12/01	
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NO.	DATE	COUNTRY	CLASS SUB. TRANSLATION	
	AL	WO01/96511 A2	12/20/01	PCT	C11D 3/00	
	AM					
	AN					
	AO					
	AP					
						YES NO
OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	AR					
	AS					
	AT					
EXAMINER:			DATE CONSIDERED:			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance <i>and</i> not considered. Include copy of this form with next communication to applicant.						